



finetre
CORPORATION™

AnnuityNet Platform Tier 2 Screen Shots

Contract List

Filter List Criteria - enter your filter criteria in the fields below. You may use more than one filter.

Advisor First Name	<input type="text"/>	Advisor Last Name	<input type="text"/>
Owner First Name	<input type="text"/>	Owner Last Name / Entity Name	<input type="text"/>
Owner SSN/TIN	<input type="text"/>	Account Number	<input type="text"/>
Annuitant First Name	<input type="text"/>	Annuitant Last Name	<input type="text"/>
Annuitant SSN	<input type="text"/>	Issued Before Date	<input type="text"/>
Minimum Amount	<input type="text"/>	Contract Number	<input type="text"/>
Carrier Name	<input type="text" value=""/>	Product Name	<input type="text"/>

Apply Filter

















TIPS

Fill in appropriate fields in the form to the left and click "Apply Filter." Leaving all fields blank will result in a complete listing of all transactions. Be sure to scroll down to see your results. You may query the database as often as you like.


Product Name filter will become a dropdown once a Carrier Name filter has been selected.

Get Next 50 Results

If this icon is available, then a new subpay can be initiated on this contract.

Actions	Owner Name	Owner TIN/SSN	Annuitant Name	Annuitant SSN	Issue Date	Contract #	Contract Value	Carrier	Product	Account #
 	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
 	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
 	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
 	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
  	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
  	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
  	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

LEGEND

- Contract Detail 
- Contract Positions 
- New Subpay 

Contract Detail

Contract Detail Information		07/03/2007
Contract Number:	[REDACTED]	
Status:	Active	
Last Updated:	06/06/2007	
Product Type:	Variable	
Carrier:	Pacific Life	
Product:	Pacific Portfolios (Std DB)	
Total Premiums:	\$15,000.00	
Contract Entities		
Primary Owner		
Name:	[REDACTED]	
Address:	[REDACTED]	
SSN/TIN:	[REDACTED]	
Primary Annuitant		
Name:	[REDACTED]	
SSN/TIN:	[REDACTED]	
Investment Professional Information		
Agent 1 Name:		
Agent 1 SSN/TIN:	[REDACTED]	
Client Account Number:		
Commission Basis:	A	
Riders		
Death Benefit Option		
Service Features		
Asset Allocation		
Systematic Withdrawal		
Dollar Cost Averaging		
Contract Amounts		
Surrender Value	\$.00	
Actuarial Present Value	\$174.60	
Total Withdrawal	\$6,562.50	
Required Minimum Distribution Basis Value	\$11,444.80	
Year-End Value	\$11,444.80	
Total Contract Amount	\$11,760.23	
Gross Death Benefit	\$12,083.56	
Original Investment Value	\$15,000.00	
Contract Dates		
Issue Effective Date	02/21/2001	

If there is more than one agent on this contract, then all agents information will display.

Riders and Service Features will display, if the carrier has sent this information in the PVF.

Contract Positions - Variable

Contract Positions Information

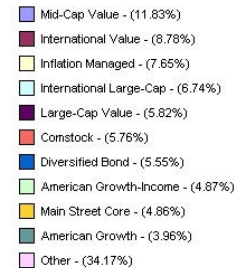
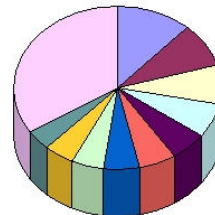
07/03/2007

This information was updated by the insurance carrier on 06/06/2007. If you have any questions regarding the information displayed, please contact the insurance carrier.

Contract Number:	[REDACTED]
Product Type:	Variable
Carrier:	Pacific Life
Product:	Pacific Portfolios (Std DB)
Total Premiums:	\$15,000.00
Total Market Value:	\$11,760.23

Variable Funds

Fund Name	Units	Sub Account Value	% of Contract
Diversified Bond	33.2176	\$347.16	5.55%
International Small-Cap	30.7087	\$352.20	2.91%
Small-Cap Equity	16.6948	\$239.93	1.99%
American Growth-Income	44.6011	\$589.02	4.87%
American Growth	33.8654	\$477.98	3.96%
Short Duration Bond	22.4691	\$233.42	1.93%
Large-Cap Value	42.7722	\$703.70	5.82%
Managed Bond	27.5381	\$460.66	3.81%
Inflation Managed	54.5996	\$924.73	7.65%
Real Estate	8.7518	\$356.21	2.95%
Small-Cap Index	12.8601	\$239.71	1.98%
Equity Index	9.6211	\$234.29	1.94%
Mid-Cap Growth	39.3162	\$357.72	2.96%
International Value	54.1257	\$1,061.30	8.78%
Mid-Cap Value	55.4198	\$1,429.43	11.83%
Growth LT	13.2394	\$351.55	2.91%
Comstock	55.5792	\$695.55	5.76%
Main Street Core	28.8135	\$587.35	4.86%
International Large-Cap	71.5757	\$814.86	6.74%
Diversified Research	26.1865	\$353.91	2.93%
Emerging Markets	20.1184	\$472.97	3.91%
Small-Cap Growth	19.1546	\$240.86	1.99%
Large-Cap Growth	30.4081	\$235.72	1.95%



Contract Positions – Fixed

Contract Positions Information


07/03/2007

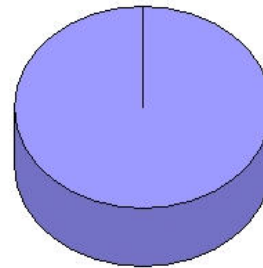
This information was updated by the insurance carrier on 03/08/2007. If you have any questions regarding the information displayed, please contact the insurance carrier.

Contract Number:	██████████
Product Type:	Fixed
Carrier:	Hartford Life
Product:	CRC Select
Total Premiums:	\$26,996.63
Total Market Value:	\$27,020.38

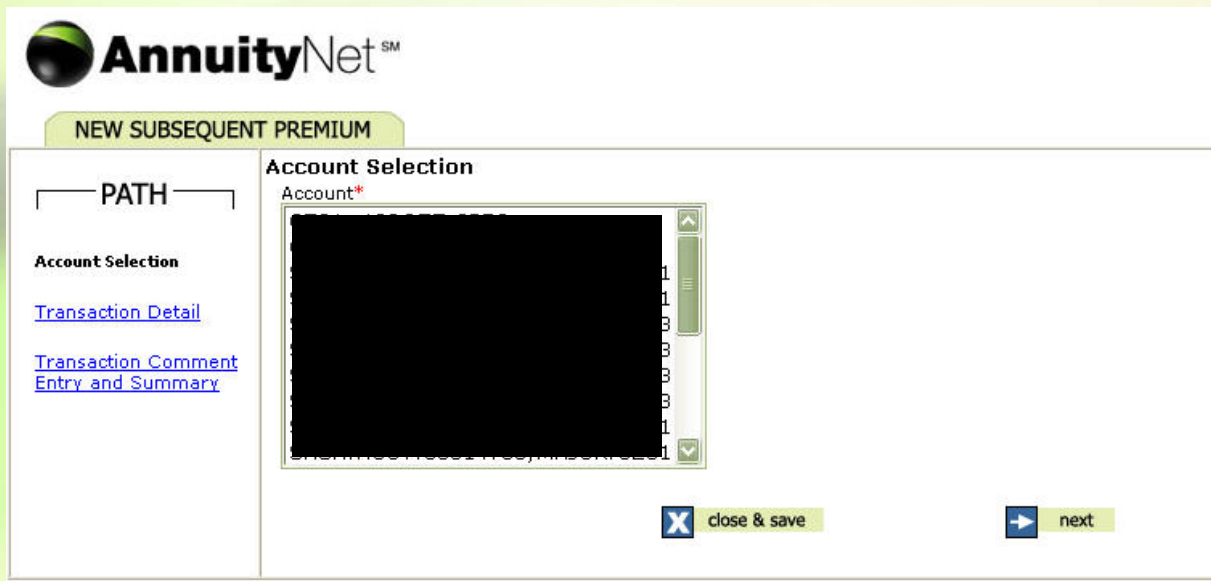
Fixed Funds

Fund Name	Interest Rate	Sub Account Value	% of Contract
CRC	.00%	\$27,020.38	100.00%

 CRC - (100.00%)



Account Selection screen



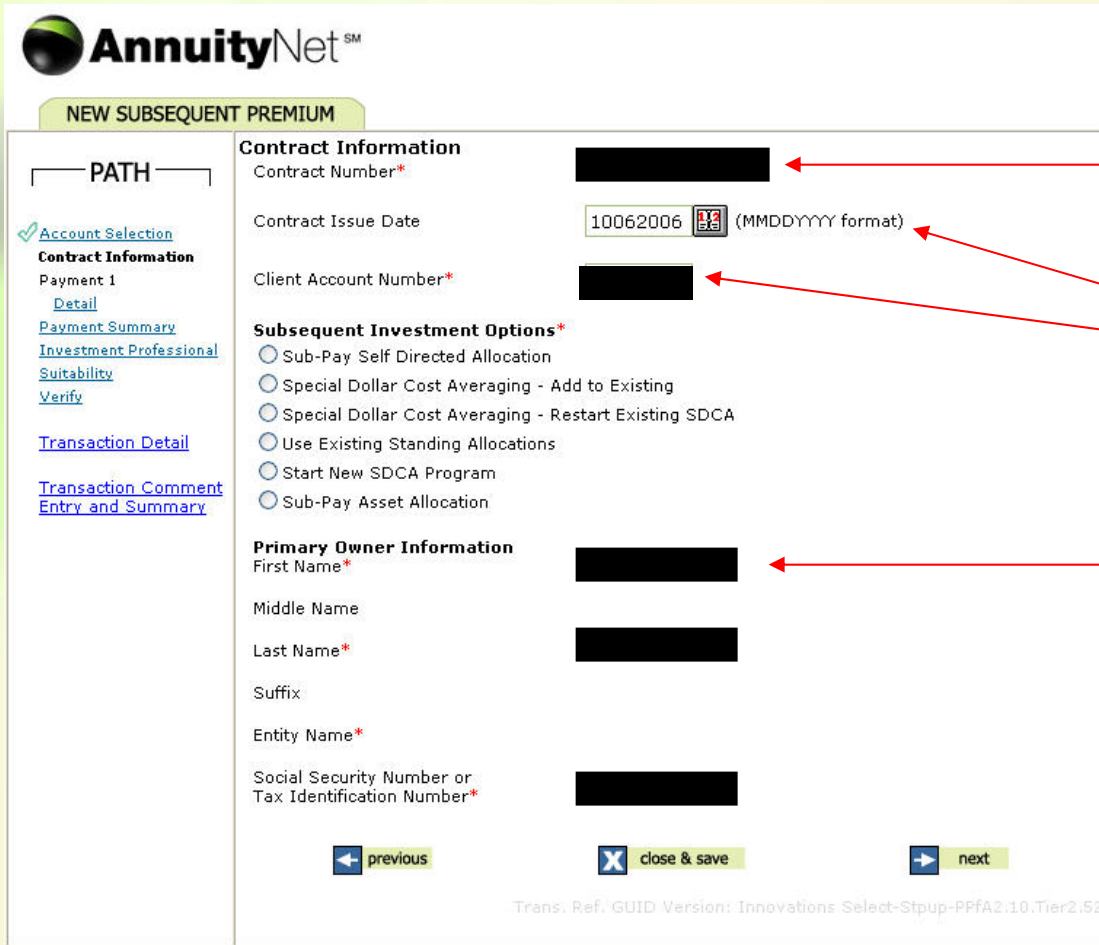
If the Account Selection drop down will pull up more than 100 accounts, the filter below will display requesting the user to refine their search.



The following slides are only a sample of the workflow for the processing of a new Tier 2 subpay.

As AnnuityNet is a wizard-driven platform, screens appear based on selections.

Contract Information screen



NEW SUBSEQUENT PREMIUM

PATH

- [Account Selection](#)
- Contract Information**
- [Payment 1](#)
- [Detail](#)
- [Payment Summary](#)
- [Investment Professional](#)
- [Suitability](#)
- [Verify](#)
- [Transaction Detail](#)
- [Transaction Comment Entry and Summary](#)

Contract Information

Contract Number* [REDACTED]

Contract Issue Date 10062006 (MMDDYYYY format)

Client Account Number* [REDACTED]

Subsequent Investment Options*

- Sub-Pay Self Directed Allocation
- Special Dollar Cost Averaging - Add to Existing
- Special Dollar Cost Averaging - Restart Existing SDCA
- Use Existing Standing Allocations
- Start New SDCA Program
- Sub-Pay Asset Allocation

Primary Owner Information

First Name* [REDACTED]

Middle Name [REDACTED]

Last Name* [REDACTED]

Suffix [REDACTED]

Entity Name* [REDACTED]

Social Security Number or Tax Identification Number* [REDACTED]

[previous](#) [close & save](#) [next](#)

Trans. Ref: GUID Version: Innovations Select-Stpup-PPFA2:10.Tier2.52

Pre-filled, and non editable

Pre-filled, if available in the PVF, and always editable

Primary Owner Information section is pre-filled and non editable

Payment Detail screen



NEW SUBSEQUENT PREMIUM

PATH

[Account Selection](#)

[Contract Information](#)

Payment 1

Detail

[Payment Summary](#)

[Sub-Pay Self Directed](#)

[Allocation](#)

[Investment Professional](#)

[Suitability](#)

[Verify](#)

[Transaction Detail](#)

[Transaction Comment
Entry and Summary](#)

Detail

Money Form*

Money Form Descriptions

1. New Money / Contribution: New money or a new contribution into an annuity that is not part of a tax free exchange from another life or annuity policy, IRA or other qualified retirement plan.
2. Transfer: The transfer of an IRA directly into a new or existing IRA, a qualified retirement plan directly into a new or existing qualified retirement plan or a 403b into a new or existing 403b.
3. Rollover: Direct rollover from a qualified retirement plan or 403b into an IRA or an indirect rollover of an IRA, qualified retirement plan or 403b into an IRA.

Amount*

\$

Tax Year

Payment Method*

Payment Method Descriptions

1. Brokerage Account: Debit (non-qualified) brokerage account
2. Insurance exchange: Carrier will initiate direct movement of money from a life insurance policy or annuity contract by contacting ceding insurance company - if the Money Form is Rollover, then this is a Direct Rollover.
3. CD redemption by Carrier: Carrier will initiate direct movement of money out of the CD by contacting ceding institution - if the Money Form is Rollover, then this is a Direct Rollover.
4. Mutual fund redemption by Carrier: Carrier will initiate direct movement of money out of the mutual fund by contacting ceding institution - if the Money Form is Rollover, then this is a Direct Rollover.
5. Other non-insurance exchange: The money is currently invested in a REIT, external brokerage account, or other investment (not a Mutual Fund, CD, or insurance product). The Carrier will initiate direct movement of money from the ceding institution - if the Money Form is Rollover, then this is a Direct Rollover.
6. Qualified Brokerage Account: Debit qualified brokerage account for direct transfer.

[← previous](#)

[X close & save](#)

[→ next](#)

Investment Professional screen



NEW SUBSEQUENT PREMIUM

PATH

- [Account Selection](#)
- [Contract Information](#)
- Payment 1
- [Detail](#)
- [Payment Summary](#)
- Investment Professional**
- [Suitability](#)
- [Verify](#)

[Transaction Detail](#)

[Transaction Comment
Entry and Summary](#)

Investment Professional Information

First Name

Middle Initial

Last Name

SSN

Phone Number

Firm Name

Trans. Ref.: GUID Version: Innovations Select-Stpup-PPFA2.10.Tier2.52

This information is pre-filled and non editable.