



What am I really investing in?

Client Profile

Client and Family Information

_____			_____			_____			_____		
Name			Date of Birth			Spouse Name			Date of Birth		
_____						_____					
Home Address						Home Address					
_____			_____			_____			_____		
City			State		Zip	City			State		Zip
_____						_____					
Work Address						Work Address					
_____			_____			_____			_____		
City			State		Zip	City			State		Zip
_____						_____					
Personal Email						Personal Email					
_____						_____					
Work Email						Work Email					
_____						_____					
Employer						Employer					
_____						_____					
Occupation						Occupation					
_____			_____			_____			_____		
Business Phone			Home Phone			Business Phone			Home Phone		
_____						_____					
Mobile Phone						Mobile Phone					
_____						_____					
Social Security #						Social Security #					
_____			_____			_____			_____		
Children's Names			Date of Birth			Children's Names			Date of Birth		
_____			_____			_____			_____		
_____			_____			_____			_____		





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Financial Profile

Balance Sheet

Assets

Cash & Cash Equivalents \$ _____
 Stocks, Bonds, Mutual Funds \$ _____
 Trust Deeds, Notes Receivable \$ _____
 Primary Residence \$ _____
 2nd Home \$ _____
 Other Real Estate \$ _____
 Limited Partnerships \$ _____
 Employee Retirement Plans(401k)\$ _____
 Business Interests \$ _____
 Stock Options \$ _____
 Personal Property \$ _____

TOTAL ASSETS \$ _____

Liabilities

Residence – 1st Mortgage \$ _____
 Residence – 2nd Mortgage \$ _____
 Loans on other Real Estate \$ _____
 Notes Payable \$ _____
 Auto Loan #1 \$ _____
 Auto Loan #2 \$ _____
 Credit Card #1 \$ _____
 Credit Card #2 \$ _____
 Credit Card #3 \$ _____
 Credit Card #4 \$ _____
 Other \$ _____

TOTAL LIABILITIES \$ _____

Income/Expenses

Annual Earned Income:

Client: \$ _____
 Spouse: \$ _____
 Rental Income: \$ _____
 Social Security Income: \$ _____
 Spouse Social Security Income: \$ _____
 Other: _____ \$ _____
 Other: _____ \$ _____

Mortgage Interest Rates

Interest rate – 1st mortgage _____
 Interest rate – 2nd mortgage _____
 Interest rate – 1st mtg 2nd home _____
 Interest rate – 2nd mtg 2nd home _____
 Interest rate – 1st mtg 3rd home _____
 Interest rate – 2nd mtg 3rd home _____

Do you expect any unusual or nonrecurring expenses this year? ___ Yes ___ No

If yes, please explain: _____





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Financial Profile (cont.)

Do you expect any major changes to your income in the near future? Yes No

Please Explain: _____

Do you contribute regularly to any of the following accounts? If so, please list how much on an annual basis:

401k \$ _____ List employer match if applicable: \$ _____

IRA \$ _____

SEP \$ _____

Roth \$ _____

Other \$ _____

Do you expect an inheritance? If yes, how much and when: _____

Do you own your own business?

If so, do you have an exit strategy in place?

Sole Proprietorship Corporation

Please explain: _____

Partnership S-Corp

How long do you expect to be in your current occupation? _____

Do you have life insurance?

Do you have disability insurance?

Total death benefit: _____

Monthly benefit: _____

Spouse death benefit: _____

Duration: _____

Monthly premium amount: _____

Name and contact info of insurance agent: _____

Do you have an up-to-date will?

Date last updated: _____

Have you established any trusts?

Date last updated: _____

Trustee named: _____

Executor named: _____

Name and contact info of estate attorney: _____

Do you prepare your own taxes?

If not, please list the contact info of your tax professional: _____

Expected age of retirement: Client _____ Spouse _____

Desired monthly income amount in retirement: _____

Please list any other goals/objectives: _____

